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Control of mitochondrial physiology and cell death by the Bcl-2 family proteins Bax and Bok.

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3 **Control of mitochondrial physiology and cell death by the Bcl-2**
4 **family proteins Bax and Bok**

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25 **Highlights**

- 26 • Bcl-2 family proteins are essential regulators of the mitochondrial apoptosis pathway.
- 27 • It is emerging that Bcl-2 proteins also have non-apoptotic, ‘daytime’ activities.
- 28 • Bcl-2 proteins Bax and Bok play a key role in the regulation of mitochondrial
29 function and Ca²⁺ homeostasis in neurons.

30

31

32 **ABSTRACT**

33 Neuronal cell death is often triggered by events that involve intracellular increases in Ca²⁺.
34 Under resting conditions, the intracellular Ca²⁺ concentration is tightly controlled by a
35 number of extrusion and sequestering mechanisms involving the plasma membrane,
36 mitochondria, and ER. These mechanisms act to prevent a disruption of neuronal ion
37 homeostasis. As these processes require ATP, excessive Ca²⁺ overloading may cause energy
38 depletion, mitochondrial dysfunction, and may eventually lead to Ca²⁺-dependent cell death.
39 Excessive Ca²⁺ entry through glutamate receptors (excitotoxicity) has been implicated in
40 several neurologic and chronic neurodegenerative diseases, including ischemic stroke,
41 epilepsy, and Alzheimer’s disease. Recent evidence has revealed that excitotoxic cell death is
42 regulated by the B-cell lymphoma-2 (Bcl-2) family of proteins. Bcl-2 proteins, comprising of
43 both pro-apoptotic and anti-apoptotic members, have been shown to not only mediate the
44 intrinsic apoptosis pathway by controlling mitochondrial outer membrane (MOM) integrity,
45 but to also control neuronal Ca²⁺ homeostasis and energetics. In this review, the role of Bcl-2
46 family proteins in the regulation of apoptosis, their expression in the central nervous system
47 and how they control Ca²⁺-dependent neuronal injury are summarized. We review the current
48 knowledge on Bcl-2 family proteins in the regulation of mitochondrial function and
49 bioenergetics, including the fusion and fission machinery, and their role in Ca²⁺ homeostasis

50 regulation at the mitochondria and ER. Specifically, we discuss how the ‘pro-apoptotic’ Bcl-2
51 family proteins, Bax and Bok, physiologically expressed in the nervous system, regulate such
52 ‘non-apoptotic/daytime’ functions.

53

54 **Keywords**

55 Bcl-2 proteins, Mitochondria, Calcium, Excitotoxicity, Bax, Bok.

56

57 **Abbreviations used in this paper**

58 $\Delta\psi_m$, mitochondrial membrane potential; Bcl-2, B cell lymphoma gene 2; BH, Bcl-2
59 homology region; Bax, Bcl-2-associated protein x; Bak, Bcl-2-antagonist/killer; Mcl-1,
60 myeloid cell leukemia gene 1; A1, Bcl-2-related protein A1; Bim, Bcl-2 interacting mediator
61 of cell death; Puma, p53 upregulated modulator of apoptosis; Bid, BH3 interacting-domain
62 death agonist; Bad, Bcl-2-associated death promoter; Bik, Bcl-2-interacting killer; Hrk,
63 Harakiri; Bmf, Bcl-2-modifying factor; tBid, truncated Bid; Bok, Bcl-2-related ovarian killer;
64 CNS, central nervous system; ER, endoplasmic reticulum; IMS, intermembrane space; MEF,
65 mouse embryonic fibroblast; MOM, mitochondrial outer membrane; MOMP, mitochondrial
66 outer membrane permeabilization; mPTP, mitochondrial permeability transition pore;
67 NMDA, N-Methyl-D-aspartic acid; ROS, reactive oxygen species; SERCA,
68 sarcoplasmic/endoplasmic reticulum Ca^{2+} ATPase; WT, wild-type.

69

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74

75 **1. The Bcl-2 family of proteins in the regulation of apoptosis**

76 The *bcl-2* (B-cell lymphoma-2) gene was first discovered in the chromosomal translocation
77 breakpoint t(14;18) in B-cell follicular lymphomas (Tsujimoto et al., 1985), and has been
78 intensively studied for several years regarding its implication in apoptosis, tumorigenesis,
79 tissue homeostasis, development of autoimmune disorders and cellular responses to anti-
80 cancer therapeutics (Delbridge and Strasser, 2015). The Bcl-2 protein family consists of a
81 network of pro-apoptotic and anti-apoptotic members that, through their interaction with each
82 other, function as the major regulators and effectors of the ‘intrinsic’ or mitochondrial
83 apoptosis pathway. During development and in response to stress or death signals, the
84 relative expression and activation levels of Bcl-2 proteins decide the cellular destiny, either
85 constraining or promoting cell death execution (Tsujimoto, 2003, Danial and Korsmeyer,
86 2004, Youle and Strasser, 2008). According to structural and functional characteristics, the
87 Bcl-2 family contains between one and four Bcl-2 homology (BH) domains, which play
88 essential functions in mediating hetero- and homo-dimeric interaction among the Bcl-2
89 family members (Adams and Cory, 1998, Danial and Korsmeyer, 2004). Bcl-2 proteins are
90 divided into three subfamilies: (i) the anti-apoptotic Bcl-2 family members, including Bcl-2,
91 Bcl-xL (Bcl-extra long), A1, Bcl-w, Boo (Bcl-2 homolog of ovary) and Mcl-1 (myeloid cell
92 leukaemia-1). These represent multidomain proteins, most of them containing all four BH
93 (BH1, BH2, BH3, and BH4) domains. Anti-apoptotic proteins also contain a transmembrane
94 domain (TM), that enables association with membranes including the MOM, ER, or nuclear
95 membranes (Krajewski et al., 1993, Kroemer et al., 2007). One of the main biological
96 functions of anti-apoptotic Bcl-2 proteins is to prevent the disruption of mitochondrial
97 integrity. (ii) The pro-apoptotic Bcl-2 homology 3 (BH3)-only proteins, such as Bid (BH3
98 interacting domain death agonist), Bim (Bcl-2 interacting mediator), Bik (Bcl-2 interacting
99 killer), Bad (Bcl-2 associated death promoter), Bmf (Bcl-2 modifying factor, Hrk (Hara-kiri),

100 Noxa (Latin name for “damage”) and Puma (p53 upregulated modulator of apoptosis),
101 contain only the BH3 domain. These act as apoptosis initiators and direct antagonists of the
102 anti-apoptotic Bcl-2 proteins; and (iii) the pro-apoptotic Bax-like subfamily, including Bax
103 (Bcl-2 associated-x) and Bak (BH3 homologous agonist killer), and potentially Bok (Bcl-2
104 related ovarian killer). These three proteins contain three conserved BH domains (BH1, BH2
105 and BH3). Activated and oligomerized Bax and Bak form pores within the outer
106 mitochondrial membrane that allow for the release of pro-apoptotic factors from the
107 intermembrane space into the cytosol, a process called mitochondrial outer membrane
108 permeabilization (MOMP) (Youle and Strasser, 2008, Chipuk et al., 2010, Czabotar et al.,
109 2014).

110 In response to an apoptotic cellular stress, such as viral infections, DNA damage, ER stress or
111 growth-factor deprivation, selected BH3-only proteins, such as Bid, Bim and Puma, activate
112 the pro-apoptotic members Bax and/or Bak, either directly, through conformational changes
113 (Strasser et al., 2000, Letai et al., 2002), or indirectly, through the displacement and
114 neutralization of anti-apoptotic Bcl-2 proteins (Uren et al., 2007, Willis et al., 2007). In
115 healthy cells, inactive Bax and Bak reside essentially in the cytosolic (Bax) or are loosely
116 bound to the mitochondria (Bak) (Hsu et al., 1997b, Goping et al., 1998, Hsu and Youle,
117 1998). Following BH3-only protein activation, Bax and Bak undergo conformational changes
118 and fully insert into the MOM, where they oligomerize and form protein-permeable channels,
119 subsequently promoting the release of pro-apoptotic factors, such as cyt-*c*, AIF, EndoG,
120 Smac/DIABLO and Omi, from the mitochondrial intermembrane space into the cytosol (Liu
121 et al., 1996, Susin et al., 1996, Du et al., 2000, Kuwana et al., 2002, Kilbride and Prehn,
122 2013). As a result, a caspase-dependent or –independent cell death process is triggered within
123 minutes or hours after MOMP.

124

125 **2. Bcl-2 proteins in the CNS**

126 Members of the pro- and anti-apoptotic Bcl-2 family proteins are expressed throughout the
127 CNS during both embryonic and adult life (Lindsten et al., 2005). With regard to the pro-
128 apoptotic, Bax-like proteins, Bax is widely expressed in the brain (Krajewski et al., 1995b),
129 while Bok is present in the cerebral cortex and highly enriched in the CA3 subfield of the
130 hippocampus (Lein et al., 2004, Newrzella et al., 2007, D'Orsi et al., 2016). Full-length Bak
131 is present only in non-neuronal cells in the brain, however, studies identified a novel neuron-
132 specific splice variant of Bak (N-Bak), that unusually only contains the BH3 domain,
133 suggesting its potential role upstream of Bax in the cell death pathway (Sun et al., 2001, Uo
134 et al., 2005). Although BH3-only proteins have the common characteristic of sharing the nine
135 amino acid BH3-domain, individual members are structurally different and exhibit distinct
136 levels of tissue expression. Some BH3-only proteins, such as Noxa and Puma, display
137 negligible expression in healthy cells, including neurons, but can be transcriptionally
138 activated under stress conditions. Other BH3 only protein members, including Bim, Bmf, Bad
139 and Bid, are expressed under physiological conditions, but can be activated through post-
140 translational modifications, including phosphorylation, intracellular displacement, or
141 proteolytic cleavage in response to cell death signaling (Ward et al., 2004, Lomonosova and
142 Chinnadurai, 2008, Engel et al., 2011). Of the anti-apoptotic members, Bcl-xL and Bcl-w are
143 mostly expressed in mature neurons in the adult brain (Motoyama et al., 1995, Roth et al.,
144 2000, O'Reilly et al., 2001), whereas Bcl-2 is widely expressed in the developing brain,
145 particularly in sensory and sympathetic neurons (Merry et al., 1994). Mcl-1 is also expressed
146 in the adult CNS, and is particularly enriched in neuroendocrine cells and sympathetic
147 neurons (Krajewski et al., 1995a, Mori et al., 2004).

148

149

150 3. Bcl-2 proteins control neuronal injury

151 In the mammalian CNS, glutamate, the major neuronal excitatory neurotransmitter released
152 by presynaptic neurons, plays a crucial role for several physiological functions, such as
153 neurotransmission and synaptic plasticity, learning and memory, and transmission of sensory
154 information. It also plays an important role during neuronal injury following various
155 neurologic insults, such as ischemia, trauma and epileptic seizure. During synaptic
156 transmission, glutamate activates postsynaptic ionotropic receptors, including N-methyl-D-
157 aspartate (NMDA), α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) and
158 Kainate Acid (KA) receptors (Collingridge, 1994). Although physiological activation of
159 glutamate receptors is necessary for cell survival, their pathophysiological overactivation
160 often leads to cell death (Olney et al., 1972). In many neurons, excitotoxic injury is primarily
161 triggered by neuronal Ca^{2+} overloading through extrasynaptic Ca^{2+} -permeable NMDA
162 receptors (Hardingham and Bading, 2010). The best characterized and established examples
163 of neurological conditions involving excitotoxic mechanisms are stroke and traumatic brain
164 injury, in which glutamate release is triggered by ATP depletion or tissue trauma, as well as
165 epileptic seizures, where excitatory synapses become overactive (Schanne et al., 1979, Choi,
166 1994, Zipfel et al., 1999). Excitotoxicity also plays a role in mediating chronic
167 neurodegenerative disorders, such as Parkinson's disease, Alzheimer's disease, amyotrophic
168 lateral sclerosis and Huntington's disease (Rothstein et al., 1990, Bezprozvanny and Hayden,
169 2004, Lipton, 2007, Mattson, 2007, Mehta et al., 2013).

170 The downstream effects of the increased entry of Ca^{2+} in the cytosol includes energy
171 depletion, enhanced mitochondrial stress, production of reactive oxygen species (ROS), and
172 mitochondrial membrane depolarization. This is accompanied by the activation of a number
173 of Ca^{2+} -dependent and catabolic processes, including the activation of proteases,

174 phospholipases, PARP-1 and nucleases, consequently causing cellular toxicity, neuronal
175 dysfunction and death (Berliocchi et al., 2005, Friedman, 2006).

176 Several studies have shown the importance of the Bcl-2 family members in the regulation of
177 excitotoxic cell death (Lindsten et al., 2005, Anilkumar and Prehn, 2014). Gene targeting of
178 Bcl-2 family members in mice has provided key insights into their role in excitotoxic injury
179 and in disease models of neurological disorders. For instance, transcriptionally or post-
180 translationally activated BH3-only proteins, such as Bim, Puma, and Bid have been shown to
181 mediate delayed neuronal injury (Konig et al., 2007, Steckley et al., 2007, Concannon et al.,
182 2010). Similarly, numerous reports have demonstrated that overexpression of anti-apoptotic
183 proteins, including *bcl-2* and *bcl-xL*, and genetic deficiency or biochemical inhibition of pro-
184 apoptotic members, such as *bim* and *bax*, protect neurons from excitotoxic injury (Jia et al.,
185 1996, Lawrence et al., 1996, Asoh et al., 2002, Garrity-Moses et al., 2005, Iriyama et al.,
186 2009, D'Orsi et al., 2012, D'Orsi et al., 2015). Despite evidence that only the combined
187 absence of *bax* and *bak* provides resistance to cell death of neuroprogenitors (D'Sa et al.,
188 2003, Lindsten et al., 2003), several papers showed that single deletion of *bax* is sufficient to
189 confer protection against neurotrophic growth factors deprivation, excitotoxicity, DNA
190 damage, proteotoxic-, and oxidative stress (Deckwerth et al., 1996, Miller et al., 1997,
191 Deshmukh and Johnson, 1998, Xiang et al., 1998, Li et al., 2004, Siu and Alway, 2006,
192 Steckley et al., 2007, D'Orsi et al., 2015, D'Orsi et al., 2016), whereas the absence of *bak*
193 gene failed to provide protection (Putchu et al., 2002). This lack of redundancy may be
194 caused by the fact that full-length Bak is not expressed at detectable levels in neurons.

195 Increasing evidence suggest that, in addition to their role in controlling the intrinsic apoptosis
196 pathway, Bcl-2 family proteins are also essential in the regulation of mitochondrial functions,
197 including fusion and fission (Autret and Martin, 2010), bioenergetics (Alavian et al., 2011,
198 Jonas et al., 2014, D'Orsi et al., 2015, D'Orsi et al., 2016), and neuronal Ca²⁺ handling (Pinton

199 et al., 2000, Chen et al., 2004, Oakes et al., 2005, D'Orsi et al., 2015, D'Orsi et al., 2016),
200 activities representing their “daytime”/non-apoptotic functions (Kilbride and Prehn, 2013). In
201 the remainder of this review, we focus on the emerging role of Bcl-2 family proteins in
202 mitochondrial and calcium functions, particularly concentrating on the involvement of Bax
203 and Bok in controlling cell death.

204

205 **4. Bcl-2 proteins in the regulation of mitochondrial function**

206 Mitochondria are highly dynamic organelles that actively interact with mitochondria of
207 neighbouring cells and other intracellular compartments to sustain energy metabolism,
208 rapidly repair damaged mitochondria and exchange cellular components, such as DNA and
209 proteins. Neurons are principally dependent on mitochondria for energy production, in the
210 form of ATP through oxidative phosphorylation, and for the regulation of several
211 physiological functions, including cell proliferation, neurotransmission and intracellular
212 calcium buffering (van Belzen et al., 1997, Nicholls and Budd, 2000, Brookes et al., 2004).
213 Therefore, neurons are highly sensitive to alterations of mitochondrial function, which may
214 result in dysfunctional synapses, axonal degeneration, and eventually cell death.
215 Mitochondria, through a tightly controlled process involving Ca^{2+} -sensitive dehydrogenases,
216 the mitochondrial calcium uniporter (MCU) and the $\text{Na}^+/\text{Ca}^{2+}$ exchanger, regulate
217 intracellular Ca^{2+} levels in cytosol and control the extent, position and propagation of
218 cytosolic Ca^{2+} influx and its recycle towards the ER (Grienberger and Konnerth, 2012,
219 Rizzuto et al., 2012, Lopreiato et al., 2014). Ca^{2+} overloading, however, as seen during
220 intense overactivation of glutamate receptors leads to subsequent mitochondrial dysfunction
221 and neuronal injury (Chen and Chan, 2009, Su et al., 2010). Several Bcl-2 family proteins
222 reside at, or translocate to, the mitochondria and are believed to play a role also in normal

223 mitochondrial physiology. The observations that apoptosis is accompanied by mitochondrial
224 fragmentation and this can often coincide with MOMP and *cyt-c* release provided indication
225 of a cross-talk between the Bcl-2 family functions and the regulation of mitochondrial
226 dynamics (Frank et al., 2001, Karbowski et al., 2002, Perfettini et al., 2005, Autret and
227 Martin, 2009). Indeed, during apoptosis, components of the mitochondrial fusion and fission
228 machinery, such as Drp1 and Mfn2, are recruited to mitochondrial scission sites and
229 colocalize with Bax, promoting a Drp1-dependent mitochondrial fragmentation (Frank et al.,
230 2001, Karbowski et al., 2002, Brooks et al., 2007, Wasiake et al., 2007). Moreover, Bax and/or
231 Bak induce mitochondrial network fragmentation, though the release of the IMS protein
232 DDP/TIMM8a into the cytoplasm, promoting Drp1 redistribution to the mitochondria and
233 activating Drp1-mediated fission (Karbowski et al., 2002, Arnoult et al., 2005, Sheridan et
234 al., 2008). It has also been demonstrated that inhibition of the fission process by
235 downregulating Fis1 or Drp1, alters *cyt c* release and delays apoptosis, suggesting a
236 connection between Drp1-mediated mitochondrial fission, Bax-dependent MOMP and *cyt c*
237 release (Frank et al., 2001, Breckenridge et al., 2003, Lee et al., 2004, Suen et al., 2008).
238 Furthermore, the mitochondrial Drp1 inhibitor Mdivi-1 blocks Bid-mediated Bax/Bak-
239 dependent *cyt c* release from mitochondria (Cassidy-Stone et al., 2008). However, Bcl-2
240 protein also directly regulate mitochondrial fusion and fission independent of mitochondrial
241 apoptosis engagement. For example, *bax* and *bak* gene deletion resulted in a reduction in
242 mitochondrial fusion in mouse embryonic fibroblasts (MEF) (Karbowski et al., 2006) whilst
243 they were protected from apoptosis, whereas overexpression of Bax and Bak alone was able
244 to trigger mitochondrial fission (Sheridan et al., 2008). Therefore, an increase in Bax and Bak
245 at the MOM, or changes in the ratio of pro-apoptotic Bax and Bak *versus* anti-apoptotic Bcl-2
246 family members may influence not only cell death signaling, but also mitochondrial
247 morphology, with increased Bax and Bak signaling causing mitochondrial fragmentation or

248 inhibition of mitochondrial fusion (Rolland and Conradt, 2010). Bax and Bak are not the only
249 Bcl-2 proteins linked to mitochondrial dynamics. Recent studies showed that Bcl-2 and Bcl-
250 xL associate with Mfn2 and promote mitochondrial fusion (Chipuk et al., 2010). Pro-
251 apoptotic BH3-only proteins, such as Noxa and Puma, have also been implicated in triggering
252 Drp1-dependent mitochondrial fragmentation, albeit during apoptosis (Sheridan et al., 2008,
253 Woo et al., 2009). Lately, a new study revealed that the switch from the anti-apoptotic Mcl-1
254 long isoform (Mcl-1 L), which binds to Drp1 to promote fission and prevent apoptosis, to the
255 pro-apoptotic Mcl-1 short isoform (Mcl-1 S) caused mitochondrial hyperfusion and
256 hyperpolarization, resulting in increased mitochondrial Ca^{2+} accumulation and sensitivity to
257 apoptotic stress (Morciano et al., 2016).

258 Mitochondrial dysfunction is also associated with a fragmentation of the mitochondrial
259 network and remodeling of cristae, characterized by fusion of individual cristae and widening
260 of the cristae junctions, resulting in the removal of the diffusion barrier and mobilization of
261 *cyt-c* from intra cristae to the intermembrane space (IMS) (Frank et al., 2001, Scorrano et al.,
262 2002). Several proteins, involved in mitochondrial fusion/fission dynamics may also play a
263 crucial role in the pro-apoptotic remodeling of cristae. Of note, Drp1 is required for the
264 optimal release of *cyt-c*, likely through its contribution to cristae remodeling (Germain et al.,
265 2005). Other studies suggested that Opa1 contributes to maintain the cristae structure and its
266 proteolytic activation causes mitochondrial fragmentation and alters the cristae shape
267 (Olichon et al., 2003). Upon apoptosis induction, the BH3-only proteins, Bid and Bik, have
268 been shown to disrupt Opa1 oligomers, causing rearrangements of the sub-mitochondrial
269 structure and loss of their compartmentalization, leading to *cyt-c* mobilization, MOMP and
270 release of IMS proteins (Scorrano et al., 2002, Germain et al., 2005). In recent years, Bid has
271 also been involved in mitochondrial metabolism, as it acts as upstream negative regulator of
272 the mitochondrial carrier homolog 2 (Mtch2), a protein implicated in reducing mitochondrial

273 diameter and metabolism. Mch2 has been suggested to enable Bid to target to the
274 mitochondria, functioning as a mitochondrial receptor-like protein, and accelerates the
275 activation of the downstream pro-apoptotic protein Bax and Bak (Zaltsman et al., 2010,
276 Shamas-Din et al., 2013, Maryanovich et al., 2015).

277 The Bcl-2 family of proteins have also been proposed to control the opening of mitochondrial
278 permeability transition pore (mPTP), located between the outer and the inner mitochondrial
279 membranes, a protein complex thought to be composed of the adenine-nucleotide translocator
280 (ANT), the voltage-dependent anion channel (VDAC) and the modulatory protein
281 Cyclophilin D (CypD). Evidence has been presented that under prolonged excessive Ca^{2+}
282 influx into the mitochondrial matrix, ANT, VDAC and CypD form a protein complex that
283 allows for the release of matrix constituents and is associated with necrotic cell death
284 (Halestrap, 2009, Bernardi and von Stockum, 2012). Anti-apoptotic Bcl-2 family members,
285 including Bcl-2 and Bcl-xL, have been suggested to modulate the mPTP by maintaining it in
286 a close conformation, while pro-apoptotic proteins, such as Bax and Bak, engage ANT and/or
287 VDAC to induce mPTP opening (Marzo et al., 1998, Shimizu et al., 1999, Whelan et al.,
288 2012, Karch et al., 2013). However, other studies demonstrated that ANT and VDAC are
289 dispensable for both mPTP and Bcl-2 family proteins-controlled cell death (Kokoszka et al.,
290 2004, Baines et al., 2007). More recently, it has been suggested that opening of the PT pore
291 under stress may also be regulated by Bcl-xL and its binding to the mitochondrial β -subunit
292 of the F₀/F₁-ATP synthase. It has been proposed that the F₀/F₁-ATP synthase, through its c-
293 subunit also acts as the core component of the mPTP (Chen et al., 2011, Bonora et al., 2013,
294 Giorgio et al., 2013, Alavian et al., 2014, Jonas et al., 2014).

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298 **5. Anti-apoptotic Bcl-2 proteins and Ca²⁺ signaling**

299 Mitochondria not only interact with themselves but also with the ER within neurons.
300 Importantly, Bcl-2 family protein also localizes at the ER, possibly modulating the interaction
301 between mitochondria and ER, by regulating ER calcium stores and signaling in non-
302 neuronal cells (Rong and Distelhorst, 2008, Chipuk et al., 2010). Selected Bcl-2 proteins have
303 been shown to influence Ca²⁺ accumulation in the ER by modulating the expression and/or
304 activity of the sarcoplasmic/endoplasmic reticulum Ca²⁺ATPase (SERCA), and the
305 mobilization of Ca²⁺ from the ER to the cytosol or mitochondria, by mediating the opening
306 of inositol 1,4,5-triphosphate receptors (IP₃Rs). It has long been clear that Bcl-2 suppresses
307 Ca²⁺ release from the ER, however, the mechanism is being debated by partially
308 contradictory studies. For instance, it has been shown that Bcl-2 reduced resting ER Ca²⁺
309 levels and cytosolic Ca²⁺ oscillations in HeLa and MEF cells (Pinton et al., 2000, Rong et al.,
310 2009). Nevertheless, other studies demonstrated that Bcl-2 inhibited ER Ca²⁺ release
311 dynamics without having an effect on ER Ca²⁺ store (Lam et al., 1994, Distelhorst et al.,
312 1996, He et al., 1997, Wang et al., 2001, Chen et al., 2004). Bcl-2 regulates ER Ca²⁺ pools,
313 where its overexpression reduced ER and Golgi Ca²⁺ stores loading (Foyouzi-Youssefi et al.,
314 2000, Pinton et al., 2000) and Bcl-2 silencing or SERCA overexpression restored ER Ca²⁺
315 (Scorrano et al., 2003, Oakes et al., 2005). In addition, Bcl-2, Bcl-X_L and Mcl-1 promote pro-
316 survival Ca²⁺ oscillations by regulating the IP₃Rs (White et al., 2005, Eckenrode et al., 2010,
317 Monaco et al., 2012), where in particular, Bcl-xL affects thapsigargin-induced Ca²⁺ dynamics
318 by altering the expression of IP₃Rs levels (Li et al., 2002). Mcl-1 overexpression in neurons
319 reduces cytosolic Ca²⁺ overloading in response to NMDA excitation (Anilkumar et al., 2013).
320 The anti-apoptotic proteins Bcl-2, Bcl-X_L and Mcl-1 all regulate mitochondrial Ca²⁺ uptake
321 through the interaction with VDAC1 (Shimizu et al., 1999, Arbel and Shoshan-Barmatz,
322 2010, Arbel et al., 2012, Huang et al., 2013, Huang et al., 2014), while Bcl-2 has been shown

323 to affect Ca²⁺ extrusion both at mitochondrial and cellular level. For instance, Bcl-2 inhibits
324 the mitochondrial Na⁺/Ca²⁺ exchanger activity, reducing the extrusion of Ca²⁺ from the
325 mitochondrial matrix (Zhu et al., 2001) and controls the plasma membrane Ca²⁺ ATPase
326 (PMCA), either inhibiting or amplifying its activity based on Bcl-2 increasing or decreasing
327 levels, respectively (Ferdek et al., 2012). Ryanodine receptors (RyRs) at the ER have been
328 classified as a target of Bcl-2 and Bcl-xL, in which the BH4 domain has been implicated to
329 inhibit the RyR-mediated Ca²⁺ release in overexpression cell models and also dissociated
330 hippocampal neurons (Vervliet et al., 2014, Vervliet et al., 2015a, Vervliet et al., 2015b).
331 Moreover, Bax and Bak had also been suggested to modulate ER Ca²⁺ stores, possibly by
332 inactivating the inhibitory functions of Bcl-2 and Bcl-xL on the IP3Rs (Scorrano et al., 2003,
333 Oakes et al., 2005), and Bok has been implicated in the upregulation of the IP3Rs by
334 protecting them from proteolysis (Schulman et al., 2013, Schulman et al., 2016). The main
335 findings on the control of Ca²⁺ signaling by anti-apoptotic (Bcl-2, Bcl-xL, Mcl-1) and pro-
336 apoptotic (Bax and Bok) Bcl-2 family proteins, for both neuronal and non-neuronal cells, are
337 discussed below and summarized in Table 1.

338 **Table 1. Bcl-2 family proteins in Ca²⁺ signaling**
339

Protein	Cell Death Function	Model system	'Day time' function in Ca ²⁺ signaling	References
Bcl-2	anti-apoptotic	HeLa, MEF, R6, HEK293, WEHI7.2 cells	Reduces ER, Golgi and intracellular Ca ²⁺ loading	(Pinton et al., 2000, Rong et al., 2009)
		WEHI7.2, HEK293 cells	Inhibits ER Ca ²⁺ release dynamics with no effects on ER Ca ²⁺ stores	(Lam et al., 1994, Distelhorst et al., 1996, He et al., 1997, Wang et al., 2001, Chen et al., 2004)
		MEF cells	When silenced, ER Ca ²⁺ is restored	(Scorrano et al., 2003, Oakes et al., 2005)
		DT40 cells	Increases the rate of InsP(3)-mediated Ca ²⁺ release	(Eckenrode et al., 2010)
		T-REx-293 cells	Intensifies mitochondrial Ca ²⁺ uptake through VDAC1 interaction	(Arbel and Shoshan-Barmatz, 2010)

		Mouse heart	Reduces the extrusion of Ca ²⁺ from the mitochondrial matrix	(Zhu et al., 2001)
		Mouse Pancreatic acinar cells	Inhibits (increased Bcl-2 levels) or amplifies (decreased Bcl-2 levels) the PMCA activity	(Ferdek et al., 2012)
		HEK293 cells, hippocampal neurons	Inhibits RyR-mediated Ca ²⁺ release	(Vervliet et al., 2014, Vervliet et al., 2015b)
Bcl-X _L	anti-apoptotic	DT40 cells	Enhances IP ₃ Rs-dependent ER Ca ²⁺ signaling	(White et al., 2005)
		2B4.11 murine T cells	Reduces Thapsigargin-induced Ca ²⁺ flux, decreases IP3Rs expression levels	(Li et al., 2002)
		MEF, HepG2, T-REx-293cells, rat liver mitochondria	Improves mitochondrial Ca ²⁺ uptake through VDAC1 interaction	(Shimizu et al., 1999, Arbel et al., 2012, Huang et al., 2013)
		HEK293 cells, Hippocampal neurons	Inhibits RyR-mediated Ca ²⁺ release	(Vervliet et al., 2015a)
Mcl-1	anti-apoptotic	DT40 cells	Enhances the rate of InsP(3)-mediated Ca ²⁺ release	(Eckenrode et al., 2010)
		Cortical neurons	When overexpressed, cytosolic Ca ²⁺ overloading is reduced	(Anilkumar et al., 2013)
		A549 cells	Limits mitochondrial Ca ²⁺ uptake through VDAC1 interaction	(Huang et al., 2014)
Bax	pro-apoptotic	DU-145, PC-3 cells	When overexpressed, the transfer of Ca ²⁺ from ER to mitochondria is facilitated	(Nutt et al., 2002a, Nutt et al., 2002b)
		MEF cells	When deleted, ER Ca ²⁺ release is reduced	(Scorrano et al., 2003)
		Cortical neurons	Facilitates Ca ²⁺ signaling between ER and cytosol	(D'Orsi et al., 2015)
		Cortical neurons	When deleted, Ca ²⁺ transients are reduced	(D'Orsi et al., 2015)
Bok	anti-apoptotic or neutral effect in studies using gene deficient neurons; tissue-specific pro-apoptotic, anti-apoptotic or neutral effects in other tissues	Cortical neurons	When deleted, Ca ²⁺ homeostasis is decreased	(D'Orsi et al., 2016)
		MEF cells	When deleted, ER stress is increased, possibly through a Ca ²⁺ release mechanism	(Echeverry et al., 2013, Fernandez-Marrero et al., 2016, Llambi et al., 2016)

341 **6. Bax and Ca²⁺ signaling**

342 *bax* was the first Bcl-2 homologue gene to be identified acting as an apoptosis executor. Bax
343 protein is expressed in various tissues, as multiple alternative splice variants, normally
344 localized in the cytosol or loosely attached to the mitochondria. The best characterized
345 isoform is the 21 kDa Bax α which contains three BH domains and membrane anchor
346 domains, allowing for insertion in the mitochondria upon apoptosis stimulation (Wolter et al.,
347 1997, Zhou et al., 1998). *bax* gene deletion has been demonstrated to grant neuroprotection
348 against several apoptotic stimuli *in vitro*, including neurotrophic factor deprivation,
349 excitotoxic and DNA damage-induced cell death (Deckwerth et al., 1996, Miller et al., 1997,
350 Deshmukh and Johnson, 1998, Xiang et al., 1998, Wang et al., 2004, D'Orsi et al., 2015,
351 D'Orsi et al., 2016), and in models of excitotoxic/ischemic injury *in vivo* (Perez-Navarro et
352 al., 2005, D'Orsi et al., 2015). As alluded to above, Bax, apart from its role in regulating the
353 intrinsic apoptosis pathway, plays key functions in mitochondrial bioenergetics and Ca²⁺
354 homeostasis (Nutt et al., 2002a; Nutt et al., 2002b; Scorrano et al., 2003; Chami et al., 2004;
355 D'Orsi et al., 2015; D'Orsi et al., 2016). There is strong evidence that Bax directly modifies
356 Ca²⁺ dynamics independent of its putative pore-forming region. In fact, Bax expression in
357 HeLa cells resulted in an increased ER Ca²⁺ loading, followed by release of Ca²⁺ from the
358 ER, an increase in mitochondrial Ca²⁺ loading and potentiation of mitochondrial Ca²⁺
359 responses, consequently triggering apoptosis (Chami et al., 2004). These results were in line
360 with previous studies in which Bax/Bak overexpression was reported to facilitate the transfer
361 of Ca²⁺ from ER to mitochondria, sensitizing the mitochondria to absorb more Ca²⁺, thereby
362 inducing cell death (Nutt et al., 2002a, Nutt et al., 2002b). Both *bax*- and *bad*-deficient mice
363 and Bax/Bak DKO MEF cells displayed decreased ER Ca²⁺ stores, resulting in a reduction in
364 ER Ca²⁺ release and a resistance to a wide range of apoptotic stimuli, including ceramide,
365 staurosporine, arachidonic acid and H₂O₂ (Scorrano et al., 2003). Bax also regulates the

366 dynamic Ca^{2+} signaling between ER and cytosol in cortical neurons, independently from its
367 classical function in the apoptotic cell death machinery or a proposed involvement in
368 mitochondrial PTP opening (D'Orsi et al., 2015). Neurons lacking *bax* exhibited significantly
369 reduced Ca^{2+} transients and deregulation of the mitochondrial membrane potential ($\Delta\psi_m$) in
370 response to NMDA-induced excitotoxicity compared to their WT controls. Altered ER Ca^{2+}
371 handling was also observed when inhibition of Ca^{2+} uptake into the ER was accomplished
372 using the SERCA inhibitor, Thapsigargin (D'Orsi et al., 2015). The study also demonstrated
373 that any effects of Bax on mPTP opening in intact cells may be secondary to the effects of
374 Bax on cytosolic Ca^{2+} handling, and tested the hypothesis that Bax may directly or indirectly
375 control mitochondrial energetics. However, *bax* deficiency did not improve neuronal
376 bioenergetics and slightly reduced basal cytosolic ATP levels (D'Orsi et al., 2015).

377

378 **7. Bok and Ca^{2+} signaling**

379

380 Bok is expressed in hippocampal (including CA3) and cortical neurons (Lein et al., 2004,
381 Newrzella et al., 2007, D'Orsi et al., 2016). Due to its predicted structural homology to the
382 pro-apoptotic Bcl-2 members Bax and Bak, Bok has been proposed to act in a similar pro-
383 apoptotic pathway (Hsu et al., 1997a, Inohara et al., 1998, Bartholomeusz et al., 2006,
384 Rodriguez et al., 2006). So far, there are limited reports on the role of Bok in neuronal injury.
385 However, a recent study provided new insights into the functional role of Bok during
386 neuronal apoptosis and Ca^{2+} -mediated neuronal injury, demonstrating that, contrary to
387 previous proposals, Bok exerts neuroprotective activities *in vitro* and *in vivo* (D'Orsi et al.,
388 2016). Bok was first identified in a yeast two-hybrid screening using Bcl-2 anti-apoptotic
389 members as baits, where it strongly interacted with Mcl-1, BHRF1, and Bfl-1, but not with
390 Bcl-2, Bcl-xL and Bcl-w (Hsu et al., 1997a, Inohara et al., 1998). Overexpression of Bok has

391 been shown to promote *cyt-c* release, caspase-3 activation, nuclear fragmentation and
392 apoptosis in several mammalian cell models (Inohara et al., 1998, Igaki et al., 2000, Zhang et
393 al., 2000, Yakovlev et al., 2004, Bartholomeusz et al., 2006). Furthermore, *bok* gene silencing
394 is seen in some human cancers, suggesting a potential role as tumor suppressor (Beroukhim
395 et al., 2010). Bok has recently been attributed a pro-apoptotic role in regulating ER- and
396 proteasome stress-induced apoptosis, where Bok promotes MOMP independently of Bax,
397 Bak and activator BH3-only peptides, and its expression leads to a ER-associated degradation
398 (ERAD) pathway-dependent cell death (Einsele-Scholz et al., 2016, Llambi et al., 2016).
399 Others provided evidence that C-terminally truncated recombinant Bok ($\text{Bok}^{\Delta C}$)
400 permeabilizes liposomes and cooperates with tBid in forming large and stable pores in
401 artificial membranes that mimic mitochondrial membranes (Fernandez-Marrero et al., 2016).
402 However, single gene *bok* or double *bax/bok* and *bak/bok* deletions in mice showed normal
403 morphological or functional development (Ke et al., 2012, Ke et al., 2013). In contrast,
404 *bax/bak* double knockout mice displayed numerous phenotypic abnormalities affecting their
405 ability to reach adult life (Lindsten et al., 2000, Wei et al., 2001), suggesting that Bok is not
406 capable to compensate entirely for a *bax* and/or *bak* loss. Other studies in non-neuronal cells
407 also provided evidence that Bok possesses non-apoptotic functions in the regulation of
408 trophoblast cell proliferation (Ray et al., 2010) or even to have pro-survival rather than a pro-
409 death role (Echeverry et al., 2013, D'Orsi et al., 2016). We and others showed that deletion of
410 *bok* failed to protect mouse cortical neurons and hematopoietic cells against several
411 apoptosis-inducing stimuli (Ke et al., 2012, Echeverry et al., 2013, D'Orsi et al., 2016).
412 Similarly, Bok was not required for STS-, etoposide- and UV-induced apoptosis in MEF cells
413 (Carpio et al., 2015). Nevertheless, the physiological or pathophysiological role of Bok still
414 remains controversial and it is possible that the Bok redundancy with Bax and Bak may be
415 tissue-related (Ke et al., 2015).

416 Bok localizes to various cellular organelles, although, the atypical C-terminal transmembrane
417 domain of Bok has higher affinity for the ER and Golgi membranes than to the mitochondria
418 (Echeverry et al., 2013). At the ER, Bok binds strongly and constitutively to IP₃R1 and
419 IP₃R2, regulating their protein levels and protecting them from proteolytic cleavage and
420 caspase-mediated degradation (Schulman et al., 2013). All cellular Bok is IP₃Rs bound and
421 unbound Bok becomes ubiquitinated and rapidly degraded by the proteasome (Schulman et
422 al., 2016). Previous studies also suggested that *bok* deficiency produced increased ER stress,
423 possibly through a Ca²⁺ release mechanism (Echeverry et al., 2013, Fernandez-Marrero et al.,
424 2016, Llambi et al., 2016). In neurons, a recent report demonstrated that *bok*-deficient cortical
425 neurons exhibited significantly increased neuronal injury in models of NMDA-, OGD- and
426 seizure-induced cell death *in vitro* and *in vivo* (D'Orsi et al., 2016). *bok*-deficient neurons
427 failed to maintain their neuronal Ca²⁺ homeostasis and showed reduced mitochondrial
428 energetics and increased PARP-1 activation in response to excitotoxicity. Moreover, *bok*
429 deficiency also led to a specific reduction in neuronal Mcl-1 protein levels, and both
430 mitochondrial bioenergetics and Ca²⁺ handling defects were rescued by Mcl-1
431 overexpression, suggesting that the combined presence of Bok and Mcl-1 was required for
432 the maintenance of mitochondrial energetics (D'Orsi et al., 2016).

433

434 **8. Conclusions**

435 In this review, we have discussed several mechanisms by which the Bcl-2 proteins control
436 mitochondrial and Ca²⁺ dynamics and how these relate to neuronal cell death. Emerging
437 studies suggest that pro- and anti-apoptotic members of the Bcl-2 protein family not only
438 modulate the mitochondrial pathway of apoptosis, but also possess important 'day-time'
439 activities. These functions include the regulation of neuronal Ca²⁺ homeostasis and
440 mitochondrial energetics. Therefore, a better understanding of physiological and

441 pathophysiological role these proteins may be beneficial for future studies considering Bcl-2
442 proteins as therapeutic targets for the treatment of neuronal injury. Successful targeting of
443 Bcl-2 has already been achieved. Bcl-2 antagonists as apoptosis sensitizers have recently
444 progressed to clinical trials in the form of the selective Bcl-2 antagonist, ABT-199 or
445 Venetoclax. Venetoclax has been approved for the treatment of chronic lymphocytic
446 leukemia, as it has been shown to enhance death of tumor cells (Roberts et al., 2016,
447 Stilgenbauer et al., 2016). The development of inhibitors of pro-apoptotic Bcl-2 family
448 proteins, such as Bax antagonists, or of Bcl-2 agonists is less advanced. Development of such
449 targeted therapeutics will not only allow new insights into disease pathology, but could also
450 deliver a novel class of neurotherapeutics.

451

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