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Proteomic and genomic evidence implicates the postsynaptic density in schizophrenia.

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2 in schizophrenia
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1 **ABSTRACT**

2 The postsynaptic density (PSD) contains a complex set of proteins of known relevance to
3 neuropsychiatric disorders, and schizophrenia specifically. We enriched for this anatomical
4 structure in the anterior cingulate cortex of 20 schizophrenia, and 20 controls from the
5 Stanley Medical Research Institute and used unbiased shotgun proteomics incorporating
6 label-free quantitation to identify differentially expressed proteins. Quantitative investigation
7 of the PSD revealed more than 700 protein identifications and 143 differentially expressed
8 proteins. Prominent among these were altered expression of proteins involved in clathrin
9 mediated endocytosis (Dynamin1, AP2) and NMDA interacting proteins such as CYFIP2,
10 SYNPO, SHANK3, ESYT, and MAPK3;(all $p < 0.0015$). Pathway analysis of the
11 differentially expressed proteins implicated the cellular processes of endocytosis, long-term
12 potentiation, and calcium signaling. Both single-gene and gene-set enrichment analyses in
13 genome-wide association data from the largest schizophrenia sample to-date of 13,689 cases
14 and 18,226 controls show significant association of *HIST1H1E* and *MAPK3*, and enrichment
15 of our PSD proteome. Together, our data provide robust evidence implicating PSD associated
16 proteins and genes in schizophrenia, and suggest that within the PSD, NMDA interacting and
17 endocytosis related proteins contribute to disease pathophysiology.

18

1 INTRODUCTION

2 The post synaptic density (PSD) is a highly organized structure attached to the postsynaptic
3 neuronal terminal comprised of a complex network of cytoskeletal scaffolding and signaling
4 proteins. These proteins facilitate the movement of receptor and signaling complexes. The
5 PSD is critical to normal neurotransmission, but is also critical to adaptive behaviors such as
6 learning and memory¹. It has been strongly implicated in neuropsychiatric disorders such as
7 schizophrenia through its roles in synaptic plasticity²⁻⁴ and cognitive function², and known
8 constituents of the PSD have been implicated in schizophrenia at both genetic^{2,4} and protein
9 expression⁵⁻⁷ levels. However the protein expression of the PSD has not yet been compared
10 between schizophrenia and control subjects.

11

12 Mass spectrometry based proteomic methods have the ability to reliably identify and quantify
13 several thousands of disease-associated protein changes derived from complex anatomical
14 structures. However, the reliable quantitation of low abundance proteins remains a challenge
15 and there has been a shift towards the use of pre-fractionation enrichment methods combined
16 with sensitive label-free mass spectrometry based proteomic techniques⁵⁻⁶. This approach
17 when used to target samples enriched for specific anatomical structures such as the
18 membrane microdomain³ and myelin⁴ has yielded novel insights and studies targeting the
19 PSD in rodents have provided equally important insights into synaptic and dendritic
20 function^{1,10}. Critically, the approach has been shown to be valid for the PSD in postmortem
21 human brain tissue⁸, and until now has not been applied to postmortem schizophrenia
22 samples.

23

24 Synaptic plasticity within the PSD occurs through the modulation of signaling mechanisms
25 such as those involving synaptic neurotransmitters (N-Methyl-D-aspartate (NMDA), α -

1 Amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) and metabotropic glutamate
2 (mGlu) receptors), many of which are known candidates for schizophrenia⁵⁻⁸. NMDA
3 receptor hypofunction (NRH) is particularly strongly implicated in schizophrenia on the basis
4 of NMDA receptor antagonists, mutants with altered NMDA NR1 subunit expression⁶ and an
5 anti NMDA receptor encephalitis¹⁵ all recapitulating aspects of schizophrenia⁹⁻¹⁰.
6 Explanations for the proposed NRH are varied¹³⁻¹⁶ and include altered membrane trafficking
7 and recycling¹¹⁻¹³ such as that mediated by altered clathrin-mediated endocytosis
8 (CME)^{14,15,16}. CME is dependent on a set of proteins referred to as the CME ‘interactome’¹³
9 which includes proteins such as Clathrin and Adaptor protein 2 (AP2), Amphiphysin and
10 Dynamin which have been shown to be dysregulated in schizophrenia^{11-12, 17}.
11
12 In the current investigations we enriched for the PSD in schizophrenia and control human
13 brain samples, and undertook a label free Liquid Chromatography-Mass Spectrometry (LC-
14 MS/MS) investigation to characterize disease associated protein expression. First, we
15 investigated the differentially expressed proteins. Second, we hypothesized, that the PSD in
16 schizophrenia compared to controls would be associated firstly with altered expression of
17 proteins involved in the core CME interactome¹³, and secondly with altered expression of
18 NMDA interacting proteins. We also hypothesized that the expression of these candidates
19 would not be influenced by antipsychotic medication used to treat psychosis. We tested this
20 using an animal model of chronic exposure to antipsychotic drug treatment. Finally, we
21 sought to validate our proteomic findings by checking for gene-based associations with
22 schizophrenia, and also gene-set enrichment of the PSD, as characterized by our study and an
23 independent study, in the largest schizophrenia genome-wide association study to date¹⁸.

1 **METHODS**

2 **Samples**

3

4 *Human samples*

5 Human postmortem brain tissue of the supragenual (BA24) anterior cingulate cortex (ACC)

6 was obtained from the Stanley Medical Research Institute's (SMRI) Array Collection

7 (www.stanleyresearch.org). The series consists of 105 subjects, including 35 schizophrenia,

8 35 bipolar disorder, and 35 control cases. Information on prescribed psychotropic medication

9 is provided by the SMRI.

10 A subset of 20 samples was selected to match as closely as possible for age and tissue pH¹⁹⁻²⁰.

11 Supplementary Table 1 provides detailed demographic information on these subjects. To

12 obtain enough tissue for the enrichment protocol, two samples were sub-pooled based on the

13 Euclidean distance. Investigators were blind to group identity until completion of the data

14 analysis. Ethical approval (application No. REC080) was granted by the Royal College of

15 Surgeons in Ireland (RCSI) Research Ethics Committee.

16

17 *Haloperidol-treated rats*

18 To assess the effects of psychotropic medication on the expression of candidate proteins,

19 cortex tissue was harvested from rats chronically treated with haloperidol²¹ (for further details

20 see Supplementary Methods).

21

22 **Comparing the PSD in ACC in schizophrenia and controls**

23 The enrichment for the PSD was undertaken using methods established previously^{22,40} and

24 recently validated for postmortem brain material⁸. The method involves differential sucrose

25 centrifugation and further fractionations by Triton X-100 extraction first at pH 6, then at pH 8

26 leading the separation of a synaptosomal membrane fraction including the synaptic vesicle

1 and presynaptic fraction as well as the postsynaptic density fraction (see Supplementary
2 Methods and Results and Supplementary Figures 1 and 2 for details of PSD enrichment and
3 validation).

4

5 **Synaptophysin in whole ACC**

6 In order to account for the possibility that differences in the PSD protein expression between
7 our disease and control samples may reflect a primary change in synaptic density, we
8 quantified the expression of the synaptic marker synaptophysin (DAKO Diagnostics, Ireland,
9 1:2000) in whole tissue lysates of the ACC from the same series that was enriched for the
10 PSD using Western blotting according to standard methods (see Supplementary Methods).

11

12 **Statistical Analysis**

13 We chose, a priori, the covariates age, postmortem interval, refrigerator interval, and brain
14 pH to be of interest as possible confounders of LFQ intensities. Analysis of covariance
15 (ANCOVA) was performed on the normalized data for each protein, with age, postmortem
16 interval, refrigerator interval, and brain pH included as covariates. Estimated differences
17 between schizophrenia and controls were then obtained using linear contrasts and
18 exponentiated (power of 2) to obtain fold changes. Significance testing was performed at the
19 5% level using ANCOVA. A false discovery rate²³ of 5% was used to flag those protein
20 identifications statistically significant after adjustment for multiple comparisons.

21 To assess the effect of antipsychotic medication (life-time dose), a post-hoc analysis of the
22 effect in the patient group alone was performed using uni- and multivariate linear regression.

23

1 The management of data and statistical analyses were carried out with SAS version 9.1
2 statistical software (SAS Institute, Inc, Cary, North Carolina) and R version 9.1 statistical
3 software (R Foundation for Statistical Computing, Vienna, Austria).

4 5 **Classification of findings**

6 DAVID NIH was used for pathway analysis according to Kyoto Encyclopedia of Genes and
7 Genomes (KEGGTM; <http://david.abcc.ncifcrf.gov/>) using two lists, 1) the total PSD protein
8 list identified by us (n=727), and 2) the PSD proteins differentially expressed between
9 schizophrenia and control (n=143).

10 11 **Validation of differentially expressed proteins**

12 We selected proteins for validation based on their degree of differential expression, the rank
13 of the fold change differences in expression and biological relevance. As our hypothesis
14 focused on CME and NMDA associated proteins we also targeted these proteins where
15 antibodies were available and working. Western blotting and dot blots were performed as per
16 standard protocols. For further details on the procedure and specificity of the antibodies used
17 for this study see Supplementary Methods.

18 19 **Gene based association with schizophrenia**

20 Genome wide SNP association results were available from the Psychiatric Genetic
21 Consortium on the largest schizophrenia study to date²⁴. A gene-based test was performed
22 using VEGAS software by considering the p-values of all SNPs (n=9,898,078) within 17,769
23 unique autosomal genes²⁵. Based on PSD differential protein expression, 133 genes were
24 available for testing. Genes were considered significant, if they surpassed a Bonferroni level
25 of correction ($p=0.05/133$ genes, $p\leq 0.00037$).

1

2 **Geneset enrichment analysis**

3 Two proteomic profiles of the PSD and three relevant pathways were tested for enrichment
4 association with schizophrenia. First, our experimentally derived PSD proteome and second,
5 an independent PSD proteomic profile obtained by²⁶, and also investigated in⁸ was tested.
6 Three relevant pathways were also included; long term potentiation and endocytosis, and
7 clathrin mediated endocytosis. The enrichment of the genesets in schizophrenia were tested
8 using a competitive test of enrichment, GSEA v2.0²⁷⁻²⁸. Genesets meeting the recommended
9 discovery criteria of an uncorrected p-value <0.05, and FDR-corrected q-value <0.25 were
10 considered significant.

11

12 **Pathway analysis on gene-expression data from SMRI**

13 Available gene expression data from previous schizophrenia studies in the SMRI collection
14 was tested for enrichment in KEGG pathways using WebGestalt^{29,30}. Further details are
15 available in Supplementary Methods.

16

17

1 RESULTS

2 Identification of PSD proteins dysregulated in schizophrenia

3 Mass spectrometry (LC-MS/MS) and analysis

4 Using 20 samples (10 schizophrenia and 10 controls, see Supplementary Table 1 for details),
5 a total of 734 proteins were identified with 1% FDR by mass spectrometry, after data input to
6 the MaxQuant bioinformatics software. Exclusion of proteins with less than five sample
7 results available and further statistical analysis using ANCOVA (correcting for age, brain pH,
8 postmortem interval, and refrigerator interval) left 727 proteins. Post hoc analysis
9 investigating the effect of freezer time showed it was not significantly different between
10 groups and we did not include this variable as a potential confounder. One-hundred-forty-
11 three proteins were differentially expressed in schizophrenia compared with controls
12 ($p \leq 0.05$). Twenty-five proteins were significant after correcting for multiple testing with
13 $FDR < 0.05$ (see Table 1 and Supplementary Table 3). We compared our list of identified
14 proteins with the previous literature defining the PSD proteome and identified up to 98% of
15 the proteome described by Kirov and colleagues⁸. Similarly, we identified 29% of the CME
16 interactome as characterized by McMahon (¹³ and www.endocytosis.org/interactome), and
17 32% as characterized by Schubert et al.¹⁴.

18

19 Validation of proteomic findings

20 Differential expression of four proteins was confirmed using an alternative method: AP2B1,
21 DNMI1, MAPK3, and SYNPO. Extensive validation to confirm the mass spectrometry
22 findings was undertaken. See Figure 1A for the details of this validation work.

23

24 *Validation Using Human Samples*

1 **AP2B1.** Using Western blotting on samples from the anterior cingulate cortex (ACC),
2 increased expression in the disease group was confirmed (t-test, p=0.03). Results from LC-
3 MS/MS showed an increased expression in schizophrenia (2.59-fold; p=0.007).
4 **DNMI.** Using Western blotting on samples from the ACC, increased expression in the
5 disease group was confirmed (t-test, p=0.01). Results from LC-MS/MS showed an increased
6 expression in schizophrenia (3.8-fold; p=0.001).
7 **MAPK3.** Using Western blotting on samples from the ACC, reduced expression in the
8 disease group was confirmed (t-test, p=0.03). Results from LC-MS/MS showed a decreased
9 expression in schizophrenia (-3.5-fold; p=0.0001).
10 **SYNPO.** Dot blots from samples showed significant reductions in protein levels for the
11 disease group (t-test, p=0.02). Results from LC-MS/MS showed a reduced expression in
12 schizophrenia (-2.9-fold; p=0.0004).

13

14 **Pathway analysis of dysregulated proteins**

15 The top three pathways of the 143 differentially expressed PSD proteins identified by KEGG
16 involved endocytosis, long-term potentiation, and the calcium signaling pathway (see Table
17 2); the top pathways for the 25 FDR significant findings were identified as long-term
18 potentiation and the neurotrophin signaling pathway. Using String to visualize functional
19 protein association networks (Supplementary Figure 3) showed a cluster of inter-related
20 differentially expressed proteins consisting of proteins that have been linked to NMDA
21 receptor related proteins, endocytosis-related from KEGG and clathrin-mediated endocytosis
22 (from published work by^{10,37}), long-term potentiation, the calcium signaling pathway, and
23 from gene based findings.

24

25 **Pathway analysis of gene expression studies in Schizophrenia**

1 Pathway analysis was performed on previous gene-expression studies on SMRI schizophrenia
2 samples to investigate prior evidence for our findings here. The most informative analysis
3 was combining all studies together for a total of 236 genes. Supplementary Table 5 shows the
4 top-ten regulated pathways. The results for all studies are also available in Supplementary
5 information. In keeping with our proteomic and genomic findings implicating MAPK3 there
6 is significant evidence for the involvement in metabolic pathways, including the MAPK
7 signaling pathway

8

9 **Synaptic density in whole tissue**

10 There was no evidence of a primary change in synaptic density between disease and control
11 as measured by synaptophysin expression in schizophrenia and control ACC samples ($p>0.2$,
12 see Supplementary Figure 4).

13

14 **Exploring the effects of antipsychotic drugs on the PSD proteome in rats and human**

15 We explored the effect of antipsychotic medication on the PSD using a rat model of
16 antipsychotic drug treatment. First we verified successful enrichment of the postsynaptic
17 density using pre-fractionation in rat tissue using Western blotting and fraction specific
18 markers (see Supplementary Figure 5). A total of 1471 proteins were identified with 1% FDR
19 by mass spectrometry, after data input to the MaxQuant bioinformatics software. Comparison
20 with our human PSD findings revealed 100% overlap. Twenty-six proteins in the treatment
21 group were found to be statistically differentially expressed compared with controls but none
22 included any of our identified candidate proteins (see Supplementary Table 5). Western blot
23 analysis demonstrated that AP2B1, DNM1, SYNPO, and MAPK3 were not significantly
24 altered in rats treated with haloperidol (Figure 1B). This suggests that our findings are not
25 related to prescribed medication.

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In the schizophrenia group alone, a post-hoc analysis of the effect of antipsychotic drugs (life-time dose) was performed using multiple linear regression. Only five proteins were identified (p -value <0.05) as associated with antipsychotic medication dose (PTPRZ1, GAP43, MGST1, ATP6V1A and GRIN1). Of these, only two (GRIN1, SRP54) remained after adjusting for other confounder variables (age, RI, PMI and pH) and neither were found to be differentially expressed in schizophrenia compared to controls.

Genomic associations with schizophrenia

We tested the genetic association of our proteomic findings in two regards. First, we tested the association of 133 PSD genes which were differentially expressed in our study in a large schizophrenia genome-wide association study. Two genes surpass our gene-based Bonferroni significance threshold *HIST1H1E* ($p=0.000019$) and *MAPK3* ($p=0.000186$) (see Supplementary Figure 6 and Supplementary Table 7 and 8 for results). Second we hypothesized that the PSD proteome as we determined experimentally and by an independent sample, is important in schizophrenia. Table 3 shows significant enrichment of PSD genesets in schizophrenia, as determined in this proteomic study ($n=983$ genes, $p=0.012$, $FDR=0.18$), and an independent proteomic study⁸ ($n=661$ genes, $p=0.0093$, $FDR=0.18$). There is an overlap of 409 genes between the two lists (enrichment of this geneset was also tested, $p=0.03$, $FDR=0.15$). The set of genes related to long term potentiation and endocytosis show borderline significance of enrichment ($p=0.07$, $FDR<0.25$) but the clathrin-mediated endocytosis pathway did not show enrichment with schizophrenia ($p>0.05$, $FDR>0.25$).

To check the robustness of our gene-set enrichment analysis to the contribution of the most significant gene, the top genes were removed from the genesets and an enrichment analysis

1 was performed. Both proteomic profiles of the PSD remained significantly associated with
2 schizophrenia (PSD profile here (without *HIST1H1E*) $P=0.018$) and PSD independent
3 (without *NDUFA13*, $P=0.016$).

4

5 In order to determine that the enrichment of the PSD was specific to schizophrenia, we tested
6 it's enrichment in comparably large genome-wide association scans of bipolar disorder³¹,
7 Crohn's disease³² and type 2 diabetes³³ using the same method as detailed above. The PSD
8 genesets were not associated with bipolar disorder, Crohn's disease or type 2 diabetes (see
9 Table 3). However, there is a hint of an enrichment of the long term potentiation pathway
10 with bipolar disorder ($p=0.081$, $fdr=0.20$). However, the borderline association of the LTP
11 geneset pathway with schizophrenia and bipolar disorder this association was attenuated
12 when the top gene *CACNA1C*³⁴ was removed from the geneset.

13

14

1 **DISCUSSION**

2 Our study has combined state-of-the-art proteomic and genomic methods to the study the
3 PSD in schizophrenia and provides robust complementary data implicating this multiprotein
4 complex. Firstly, we specifically enriched for the PSD fraction of the anterior cingulate
5 cortex in schizophrenia and control samples and used mass spectrometry-based proteomic
6 methods to characterise differential expression of PSD proteins in schizophrenia. The most
7 notable proteomic changes involved proteins with roles in endocytosis and longterm
8 potentiation, including proteins interacting with the NMDA receptor. Secondly, in a genomic
9 analysis we tested and affirmed the hypothesis that certain PSD schizophrenia risk genes, and
10 the PSD associated genome itself, are associated with schizophrenia. Together these findings
11 provide powerful evidence implicating the PSD in schizophrenia.

12 The PSD is an electron dense multi-protein complex under the postsynaptic membrane which
13 is readily identified by electron microscopy³⁵⁻³⁶. It has been characterised previously in the
14 rodent and human cortex using proteomic methods^{1, 4, 37-38} and it contains many
15 neuroreceptors of such as NMDA, AMPA and mGLU receptors which influence long term
16 potentiation³⁹ and synaptic plasticity and which are implicated schizophrenia⁴⁰⁻⁴². However,
17 to our knowledge no previous study has enriched for the PSD in schizophrenia and assessed
18 its differential expression compared to controls. The validity of the enrichment approach has
19 been demonstrated previously by Hahn and colleagues⁴¹ and in the current study we have
20 built on this work by extending the study to postmortem anterior cingulate cortex tissue in
21 schizophrenia and control.

22

1 We previously observed differential expression of proteins involved in CME in the cortex⁴⁹⁻⁵⁰
2 and in the hippocampus²⁸ in schizophrenia and based on these findings developed the
3 hypothesis that abnormal CME alters NMDA receptor recycling leading to NMDA receptor
4 hypofunction in schizophrenia¹⁴. In keeping with this hypothesis we observed reduced
5 expression of two proteins centrally involved in CME, namely dynamin-1 and AP2. Using
6 Western blotting we confirmed altered expression of these proteins. Changes in the
7 expression of numerous other proteins involved in CME, such as Amphiphysin, ARF6,
8 ARFGAP1, AP2M1 and heat shock proteins HSPA6 and HSPA8 were also observed. These
9 findings confirm the role of membrane trafficking and CME in schizophrenia and are keeping
10 with recent studies implicating endosomal and membrane trafficking more broadly in
11 schizophrenia^{11-12, 17}.

12 We also observed altered expression of proteins with known roles in NMDA function.
13 Specifically, we observed differential expression of MAPK3, SHANK3, SYNPO, MYL6,
14 CYFIP2, VDAC, ATP6V0A1, CAMK2B, PRDX1 and ESYT. We confirmed the expression
15 of MAPK3 and SYNPO using Western blotting and dot blots, respectively. Further, pathway
16 analysis of previous schizophrenia gene-expression studies from the SMRI samples provides
17 support for our findings by implicating metabolic pathways, including the MAPK signaling
18 pathway. Taken together, our findings are in keeping with a recent genomic study of
19 schizophrenia which found an excess of CNVs in schizophrenia with functions in the
20 postsynaptic¹⁴. In particular our observations of differential protein expression of HSPB1,
21 CYFIP2, RPH3A and MAPK3 are supportive of Kirov and colleagues genomic findings¹⁰.
22 These findings are in keeping with the results of the pathway analysis undertaken on the 25
23 proteins differentially expressed following FDR which implicated long-term potentiation.
24 Long-term potentiation is dependent upon NMDA receptor function and is critical to synaptic
25 plasticity³⁹ which is considered altered in schizophrenia^{40, 42-44}. However, as there is obviously

1 a trade-off between false-discovery rate (and sensitivity/false-negative rates²³, we also
2 undertook Pathway Analysis of all proteins shown to be differentially expressed at unadjusted
3 levels of significance. This analysis implicated endocytosis, long-term potentiation and also
4 the calcium signalling pathway.

5 In order to substantiate our results, we used the largest available genome-wide association
6 study of schizophrenia to test the gene association from our proteomic results and we
7 highlight genes of particular interest; *HIST1H1E* and *MAPK3*. There are several lines of
8 evidence from the literature to suggest roles for *HIST1H1E* and *MAPK3* in schizophrenia.
9 First common genetic variants from the histone and MHC gene cluster on chromosome 6p21-
10 6p22 region are the most replicated genome-wide genetic association with schizophrenia¹⁸,
11 ^{45,46}, second, a *de novo* loss-of-function *HIST1H1E* frameshift mutation was detected in a
12 schizophrenia proband by exome sequencing⁴⁷, and furthermore a gene expression study
13 suggests that *HIST1H1E* may have predictive value for treatment of depression⁴⁷⁻⁴⁸. Two
14 independent copy number variant (CNV) studies of schizophrenia have implicated *de novo*
15 mutations in *MAPK3*^{6,49} and another in psychosis⁵⁰. Indeed *MAPK3* has critical roles in many
16 cellular processes including translational regulation, dendritic organization, long-term
17 potentiation and synaptogenesis⁵⁸ which are implicated in schizophrenia. It is expressed in
18 the developing and adult human brain and *MAPK3*-deficient mice display abnormal
19 behavioral patterns⁵⁹.

20 Geneset enrichment analyses have already suggested important biological pathways in
21 psychiatry^{46,51} and related neurocognitive traits^{61,42,52}. We have contributed to these findings
22 by suggesting the importance of the PSD to schizophrenia susceptibility from our own
23 experimental proteomic profile of the PSD, and an independent proteomic profile. Our
24 findings are further strengthened in the specificity of the enrichment association with

1 schizophrenia, and not bipolar disorder, Crohn's disease or type 2 diabetes. Our data suggest
2 that genetic variation in the PSD proteome could be one of the potential sources of
3 differential protein expression observed here, and warrants further experimental
4 investigations.

5

6 Our study has several strengths and weaknesses that should be considered. Postmortem
7 studies have well known confounds such as chronic exposure to neuroleptics, alcohol, tissue
8 pH and postmortem delay can confound these studies. We designed our study so that these
9 variables were matched closely across groups and where this was not possible our analysis
10 accounted for these differences. In order to account for the potential effect of chronic
11 exposure to neuroleptics in our schizophrenia cases, we studied the PSD proteome, using the
12 same methods, of mice chronically exposed to the neuroleptic haloperidol. Few changes were
13 identified following this treatment, and none involved the proteins we showed to be
14 differentially expressed in schizophrenia. It should also be acknowledged that the PSD
15 enrichment method we used required a great deal of tissue and that we were obliged to pool
16 the precious cortical material from the 20 cases and 20 controls into 10 matched pairs per
17 group. Future studies should attempt validation using larger and independent samples and to
18 study bipolar disorder, and other cortical regions. It should also be appreciated that our
19 understanding of the broader protein content of the PSD is based on proteomic studies of
20 enriched samples and that the methods used can vary leading to different PSD proteomes. For
21 example we had identified 98% of the more stringently defined PSD proteome defined by
22 Kirov⁸ but only 53% as identified by Grant and colleagues. The differences probably relate to
23 the different methods and the presence of multiple isoforms of some proteins identified by
24 Bayes and colleagues⁵³. However our PSD enrichment method is relatively standard^{10,31} and

1 this along with our sensitive LC-MS/MS method yielded a PSD proteome which is very
2 similar to that described previously^{1, 8, 53}. Finally, it is possible that the PSD protein changes
3 that we observed reflect a more generalised reduction of synaptic connections. For this reason
4 we assessed the protein expression of presynaptic marker synaptophysin in whole tissue
5 lysates. We demonstrated no differential expression of synaptophysin in the ACC in
6 schizophrenia, indicating that synaptic density changes are unlikely to be the basis of our
7 findings⁶².

8 While our gene-based association test with schizophrenia was based on the largest available
9 genome-wide meta-analysis using a widely-regarded method, there are certain limitations to
10 our approach including the omission of non-autosomal genes, the impact of non-causal SNPs
11 to dilute association⁴⁵, the potential of the genetic data not to tag a causative genetic variant
12 missing genetic association, and our lack of knowledge on the underlying genetic architecture
13 of psychiatric illness. It is however, a valuable complex trait genetics tool and we wait with
14 anticipation for the next meta-analysis by the Psychiatric Genetics Consortium to replicate
15 our findings.

16 In conclusion, in the first study of its kind, we have identified and confirmed protein changes
17 within the PSD in schizophrenia. Genomic analysis supports the importance of the PSD in
18 schizophrenia and in particular points to a role for PSD associated NMDA interacting
19 proteins. Together these finding provide novel insight into the contribution of the PSD to
20 schizophrenia and suggest mechanisms, involving endocytosis, LTP and NMDA receptor
21 function that are responsible for this contribution.

22

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9

10

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1 **Figure captions**

2
3 Figure 1. Validation of differentially expressed proteins. * $P < 0.05$; A. Protein expression
4 changes were determined in the 20 subpooled cases of the Stanley Medical Research Institute
5 Array Collection using Western Blotting and dot blots. The means of 3 independent
6 experiments are presented. Error bars indicate standard deviation. Western blots were
7 prepared using lysates of subpools of anterior cingulate cortex samples from patients with
8 schizophrenia (S), and control subjects (C). Immunoblots were incubated with antibodies that
9 specifically recognize Dynamin (DNM1) at 120 kDa, AP2B1 at 105 kDa, MAPK3 at 44 kDa,
10 and ERK2, used as a loading control, at 42 kDa. The images show a typical blot and the
11 corresponding graphs represent the signal intensity of the designated antibody measured by
12 densitometry and corrected by the signal intensity of ERK2. The mean of 3 independent
13 experiments is presented. Error bars indicate standard deviation. ERK2 showed no significant
14 differences between disease and control ($P = 0.7$). Synaptopodin dot blot were developed with
15 diaminobenzidine, measured by densitometry. The mean of 3 independent experiments is
16 presented. Error bars indicate standard deviation.

17 In keeping with our LC-MS/MS experiments, DNM1 and AP2B1 expression were increased
18 and MAPK3 and SYNPO were found to be reduced.

19 B. Protein expression changes determined by Western Blot for (A) AP2B1 (B), Dynamin and
20 (C) MAPK3; and (D) by dot blots for SYNPO in rats chronically treated with haloperidol.
21 The images show a typical blot and the graphs represent the signal intensity of the respective
22 antibody measured by densitometry and corrected by the signal intensity of ERK2. ERK2
23 showed no significant differences between haloperidol and control ($p = 0.9$). The mean of
24 three independent experiments \pm STDEV is presented. No significant alterations in protein
25 expression between treated and vehicle-treated animals were observed for all proteins.

1 **Table captions**

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Table1. Differentially expressed protein identifications of the supragenual (BA24) human anterior cingulate PSD after ANCOVA correcting for age, pH, PMI, RI. * marks proteins testing significant after a false discovery rate of 5% was used to flag those protein identifications statistically significant after adjustment for multiple comparisons.

Table 2. Top three significant pathways identified amongst the 143 differentially expressed proteins (t-test; $p < 0.05$) between schizophrenia and controls. Pathways were identified according to KEGGTM and proteins assigned to each pathway are listed. C is the number of reference proteins in the category/pathway, O is the number of proteins in the dataset and also in the category. E is the expected number in the category and P, the adjusted p-value is corrected for testing multiple categories in a group of functional gene set categories by the method recommended by the authors (Benjamini and Hochberg, 1995).

Table 3. Gene set enrichment analysis of PSD proteomic profile in psychiatric disorders and non-psychiatric disorders. Gene set enrichment analysis was performed to test the association of the gene sets of proteomic profiling of the post synaptic density with schizophrenia, bipolar disorder, type 2 diabetes and Crohn's disease. Gene set size is the number of autosomal genes that map to the relevant proteins. NES is the normalized enrichment score which is the enrichment score for the gene set after it has been normalized across analyzed gene sets. The enrichment score for the gene set is the degree to which this gene set is overrepresented at the top or bottom of the ranked list of genes in the expression dataset. The p-value is the nominal p value and represents the statistical significance of the enrichment score. The FDR q-values are adjusted for gene set size and multiple hypotheses testing while the p value is not. Genesets of an uncorrected p-value < 0.05 , or FDR-corrected q-value of < 0.25 are considered significant and are highlighted in bold. The results are $n=5,000$ permutations and the mean of three runs.

1 **Supplementary Figure and Table Captions**

2
3 Supplementary Figure 1. Preliminary investigations to confirm the validity of the enrichment
4 method. Postmortem tissue from a human insular cortex sample was fractionated by sucrose
5 density gradient centrifugation and pH based differential extraction and the resulting fractions
6 were tested for specificity for different proteins by immunoblotting.

7
8 Supplementary Figure 2. Flow chart of PSD enrichments. Postmortem human anterior
9 cingulate cortex (ACC) tissue was fractionated following the protocol of Hahn et al., by first
10 separating the synaptic membrane fraction using sucrose density gradient ultracentrifugation.
11 The synaptosomal membrane fraction was further fractionated by Triton X-100 extraction
12 first at pH 6, then at pH 8 (see Figure 1). The soluble fractions obtained after the pH 6 and pH
13 8 Triton X-100 extractions were designated the synaptic vesicle fraction and the presynaptic
14 membrane fraction, respectively. The insoluble fractions remaining after the Triton X-100
15 extraction were defined as the Postsynaptic density fraction.

16
17 Supplementary Figure 3. The Search Tool for the Retrieval of Interacting Genes (STRING)
18 database (<http://string-db.org>) was used to visualize functional protein association networks
19 showing a cluster of inter-related proteins consisting of proteins that had been linked to
20 NMDA receptor related proteins, endocytosis-related from KEGG and clathrin-mediated
21 endocytosis (from published work by ^{13, 54}), long-term potentiation, the calcium signaling
22 pathway, and from gene based findings. #, GWAS; \$, NMDA receptor related; *,
23 Endocytosis; < , Calcium signalling; > , Long-term potentiation.

24
25 Supplementary Figure 4. Synaptic content of whole tissue samples. Western blots were
26 prepared using whole tissue lysates of subpools of anterior cingulate cortex samples from
27 patients with schizophrenia (S), and control subjects (C). Immunoblots were incubated with
28 an antibody that specifically recognizes synaptophysin (SYP) at 38 kDa, and ERK2, used as a
29 loading control, at 42 kDa. The images show a typical blot and the corresponding graphs
30 represent the signal intensity of the designated antibody measured by densitometry and
31 corrected by the signal intensity of ERK2. The mean of 3 independent experiments is
32 presented. Error bars indicate standard deviation. ERK2 showed no significant differences
33 between disease and control ($p=0.7$). Synaptophysin demonstrated that there is no difference
34 in synaptic content between control and schizophrenia.

35
36 Supplementary Figure 5. Western blotting of the different rat fractions. A. Tissue from a rat
37 sample was fractionated by sucrose density gradient centrifugation and pH based differential
38 extraction and the resulting fractions were tested for specificity for different proteins by
39 immunoblotting. B. All six control and Haloperidol treated PSD samples were tested for
40 enrichment by using the PSD-95 antibody.

41
42 Supplementary Figure 6. Gene plots of MAPK3 and HIST1H1E. Regional association plots of
43 two differentially expressed PSD proteomic candidate genes significantly associated with
44 schizophrenia in a gene-based test. The local association results from the genome-wide
45 association scan on the meta-analysis of a Swedish National Sample (Swe) and the Psychiatric
46 Genetics Consortium (PGC1) sample (Ripke et al, 2013) are shown in region tested for gene-
47 based association of HIST1H1E and MAPK3 +/- 50kb. The genomic locations are given in
48 NCBI Build 37/UCSC hg19 coordinates. The plots were created using LocusZoom.

1 Supplementary Table 1. A. Demographic information for the 40 samples used for analyzing
2 protein expression by LC-MS/MS in the anterior cingulate cortex;
3 B. Sub-pools of schizophrenia and control brain samples paired by using the Euclidian
4 distance;
5 Abbreviations: h, hours; LC-MS/MS, Liquid chromatography–mass spectrometry; PMI, post-
6 mortem interval; RI, refrigerator interval; h, hours;y, years
7
8 Supplementary Table 2. Validity of preliminary PSD LC-MS/MS investigations. After mass
9 spectrometry proteins were analyzed using KEGGTM to determine pathways to which
10 identified proteins can be assigned. Comparing these with the number of proteins within these
11 individual KEGG categories that have been reported by others in human cortex1 (and see also
12 www.g2conline.org/) revealed a correlation between the number of proteins in each KEGG
13 pathway category of $r^2=0.89$.
14
15 Supplementary Table 3. Protein identification of the human anterior cingulate PSD
16 enrichment after using ANCOVA correcting for age, pH, PMI, RI
17
18 Supplementary Table 4. Pathway analysis of all PSD proteins identified.
19 Taking all 727 identified proteins forward for KEGGTM analysis, we compared the top 10
20 categories obtained with a dataset taken from genes2cognitton.org page that was narrowed
21 down by removing genes not found in all replicates (1412 proteins, personal communication
22 A. Pocklington, Cardiff University). A correlation between the two datasets of $r=0.78$ was
23 demonstrated. C is the number of reference proteins in the category/ pathway, O is the
24 number of proteins in the dataset and also in the category. E is the expected number in the
25 category and adjP, the adjusted p-value is corrected for testing multiple categories in a group
26 of functional gene set categories by the method recommended by the authors (Benjamini and
27 Hochberg, 1995)
28
29 Supplementary Table 5. Pathway analysis of top-regulated genes in gene-expression studies
30 of the SMRI schizophrenia collection. The top-ten pathways are reported.
31
32 Supplementary Table 6. Differentially expressed proteins of the rat PSD identified by mass
33 spectrometry.
34
35 Supplementary Table 7. The association of candidate genes differentially expressed genes in
36 proteomic work. 133 genes were tested for gene-based association with schizophrenia using
37 VEGAS. Proteomic_PValue_SCZ_Versus_Control is the significance of the differential
38 protein expression between cases and controls. Chr is chromosome. nSNPs is the number of
39 SNPs in gene (± 50 kb). nSims is the number of simulations performed. Start and stop are the
40 position of the gene (not including the 50kb boundaries) according to positions on the UCSC
41 Genome Browser hg18 assembly. Test is the the sum of the individual chi-squared 1 degree
42 of freedom SNP-association test statistics. Pvalue is the significance of the gene-based
43 association. Best.SNP is the most significant SNP within that gene and the corresponding p-
44 value.
45
46 Supplementary Table 8. The genes included in the five genesets investigated for enrichment
47 with schizophrenia are listed here.
48

- 1 Supplementary Table 9. SMRI studies with gene expression data available. N scz genes is the
- 2 number of differentially expressed gene probes in the schizophrenia samples. N matches is
- 3 the number of matches from the probes to genes using Webgestalt.